4001/005



PTO/SB/97 (08-03)

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- 1. 37 CFR 1.28(c) Notification (2 pgs.)
- 2. Fee Transmittal in duplication (2 pgs.)
- 3. Certificate of Transmission (1 pg.)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sont to the Chief Information Officer, U.S. Patent and Trudemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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T23-00

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(A))



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Date: July 30, 2004 Fatti Hespoll

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASPUCATION SERVAL NUME 09/658,204	O8 SEP 2000	T723-00	
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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY (37 CFR 1.28(c))

The above noted patent application was filed and prosecuted as a small entity. While the assignee of the patent application is and has always been a small entity (Expanse Networks, Inc is the current assignee of the complete interest), a license was granted to Next Level Communications, Inc for the period of time from December 15, 1999 to January 15, 2002. Applicants erroneously believed that the patent application was still entitled to small entity status during this time frame. Applicant has recently discovered that the license to Next Level Communications, Inc. (possibly a large entity for at least a portion of this time) may have affected their small entity status during this time frame.

Accordingly, Applicant has diligently gathered data related to fees paid as a small entity during the term of the license. The table on the next page summarizes for each applicable action (item and date) the fees paid as a small entity, the current large entity fee, and the current amount owed as a result of small entity payment error.

The above noted patent application is currently entitled to small entity status.

37 CFR 1.28(c) Notification

-1-

Patent Application No. 09/658,204

Item	Date	Small Entity Fee Paid	Current Large Entity Fee	Amount to be Paid
Utility Application Filing Fee	09/08/00	\$345	\$770	\$425
Additional, Claims Fee (29 Claims)	09/08/00	\$261	\$522	\$261
TOTAL	\$686			

Please charge the above noted fees to Deposit Account 501535.

Respectfully submitted,

Douglas J. Ryder, Esquire

Reg-No. 43,073

Expanse Networks, Inc. 6206 Kellers Church Road Pipersville, PA 18947

Phone: (215) 766-2100 Fax: (215) 766-2920 Date: __ July 30, 2004

Signature

PTO/SB/17 (10-03) Approved for use through 07/31/2008. OMB 0861-0032
U.S. Patent and Trademark Offico; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 09/658,204 Application Number 08 SEP 2000 Filing Date for FY 2004 ELDERING First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. RAMAN, USHA Examiner Name Applicant claims small entity status. See 37 CFR 1.27 2611 Art Unit (\$) 686.00 TOTAL AMOUNT OF PAYMENT T723-00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Check Crodit card Other None Large Entity | Small Entity Deposit Account; ²ee Code Fee (\$) **Fee Description** Deposit Code Fee Paid 501535 Account 1051 130 2051 Number 65 Surcharge - late filing fee or oath Deposit 1052 50 2052 25 Surcharge - late provisional filing fee or EXPANSE NETWORKS, INC. Account Name cover sheet Non-English specification 1053 130 1053 130 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Charge fee(s) indicated below Credit any overpayments 920* Requesting publication of SIR prior to Examiner action 1804 920 1804 Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee 1805 1,840° Requesting publication of SIR after Examiner action 1805 1,840 to the above-identified deposit account 1251 110 2251 55 Extension for reply within first month FEE CALCULATION 210 Extension for reply within second month 1252 420 2252 1. BASIC FILING FEE 1253 2253 arge Entity Small Entity 950 476 Extension for reply within third month Fee Paid Fee Description Fee Fee Code (\$) 1254 1,480 2254 740 Extension for reply within fourth month 2255 1,005 Extension for reply within fifth month 1001 770 1255 2.010 2001 385 Utility filing fee 1002 340 2002 170 1401 330 2401 165 Notice of Appeal Design filing fee 1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1004 770 2004 385 1403 2403 290 145 Request for orel hearing Réissue filino fee 1005 160 2005 1451 1,510 1451 1.510 Petition to institute a public use proceeding Provisional filing fee 1452 2452 55 Petition to revive - unavoidable 110 SUBTOTAL (1) (\$) 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from 1501 1.330 2501 665 Utility issue fee (or reissue) Ext<u>ra Claim</u>s Fee Paid below 1502 480 2502 240 Dosign issue fee **Total Claims** X **-49** = 1503 2503 840 320 Plant issue fee Independent Claims 1480 130 1480 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1,17(a) arge Entity Small Entity 1808 180 1806 180 Submission of Information Disclosure Stmt Fcc Fcc Code (\$) Fee Description Code (\$) 40 Recording each patent assignment per property (times number of properties) 8021 40 8021 1202 18 2202 9 Claims in excess of 20 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 1201 88 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1204 86 Reissue independent claims 2204 43 over original patent 1801 770 2801 385 Request for Continued Examination (RCE) ** Relssue claims in excess of 20 and over original patent 900 Request for expedited examination of a design application 1205 18 2205 9 1802 800 1802 37CFR 1.28(c) Notification 686.00 Other fee (specify) SUBTOTAL (2) (\$) *Reduced by Basic Filing Fee Pald SUBTOTAL (3) (\$) 686.00 or number previously paid, if greater, For Roissues, see above SUBMITTED BY (Complete (# applicable)) Registration No. Name (Print/Type) Do∕ugla}s J. Ry∕olej 43.073 Telephone 215-768-2100

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Signeture

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U.S. Patent and Tradamark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to rescond Complete If Known FEE TRANSMITTAL 09/658,204 Application Number 08 SEP 2000 Filing Date for FY 2004 **ELDERING** First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. RAMAN, USHA **Examiner Name** Applicant dalms small entity status. See 37 CFR 1.27 2611 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 686.00 T723-00 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Check Credit card Other None Large Entity , Small Entity Deposit Account: Fee Code Fee Description Fee Code Fee (\$) Deposit ee Pald 501535 1051 130 2051 65 Surcharge - late filling fee or oath Number Deposit 1052 50 2052 25 Surcharge - late provisional filing fee or EXPANSE NETWORKS, INC. Account COVER Sheet Non-English specification 1053 130 1053 130 <u>The Director is authorized to: (check all that apply)</u> 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Charge fee(s) indicated below Credit any overpayments 9201 920" Requesting publication of SIR prior to Examiner action 1804 1804 Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after Examiner action 1805 1.840 1805 1.840° to the above-identified doposit account. 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 210 Extension for reply within second month 2252 1252 420 1. BASIC FILING FEE 950 2253 1253 475 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Fee Code (\$) Fee Description 1254 1,480 2254 740 Extension for reply within fourth month 1,005 Extension for reply within fifth month 1255 2,010 2255 1001 770 2001 385 Utility filing fee 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Dösign filing fee 1402 330 2402 1003 530 2003 265 Plant filing fee 165 Filing a brief in support of an appeal 145 Request for oral hearing 2004 385 1403 290 2403 1004 770 Reissue filing fee 1005 160 2005 Provisional filing fee 1451 1.510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable **SUBTOTAL (1) | (\$**) 1453 1.330 2453 655 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.330 2501 665 Utility issue fee (or reissue) Ext<u>ra Clalm</u>e Fee Pald below 1502 480 2502 240 Design issue fee **Total Claims** × -49** = 2803 1503 640 320 Plant issue for Independent - 3** = 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 180 Submission of Information Disclosure Strnt 1808 180 1808 Fee Description Code (\$) Recording each patent assignment per Code (\$) 8021 40 8021 property (times number of properties) 1202 18 2202 9 Claims in excess of 20 385 Filing a submission after final rejection (37 CFR 1.129(a)) 770 2809 1809 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 1810 770 2810 Relssue independent claims 1204 86 2204 43 over original patent 385 Request for Continued Examination (RCE) 1801 770 2801 ** Relssue claims in excess of 20 and over original patent 900 Request for expedited examination of a design application 1205 18 2205 9 1802 900 1802 686,00 Other fee (specify) 37CFR 1.28(c) Notification SUBTOTAL (2) (\$) Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 686,00 ar number previously paid, if greater; For Reissues, see above SUBMITTED BY (Complete (# applicable)) Registration No. Døjugjas J. Føjyde Name (Print/Type) 43,073 Telephone 215-766-2100

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